COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

JJGJR 03-02

Paper No. 4

KURT L. GLITZENSTEIN FISH & RICHARDSON 225 FRANKLIN ST. BOSTON, MA 02110-2804

**COPY MAILED** 

MAR 1 2 2002

OFFICE OF PETITIONS

In re Application of Luneau Application No. 10/042,686 Filed: 14 March, 2000 Attorney Docket No. 10200-007002

: DECISION GRANTING PETITION

This is a decision on the petition filed on 27 August, 2001, inappropriately under 37 C.F.R. §1.182, requesting that the Continued Prosecution Application (CPA) filed on 14 March, 2000, and based on prior application No. 08/660,814 (issued as U.S. Patent No. 6,038,443 on 14 March, 2000) be treated as a continuation application under 37 C.F.R. §1.53(b), rather than 37 C.F.R. §1.53(d), and be accorded a filing date of 14 March, 2000. A subsequent petition, this appropriately under 37 C.F.R. §1.53(e), was filed via FAX on 25 February, 2002, to that same end.

The petition under 37 C.F.R. §1.53(e) is **GRANTED**, and the petition under 37 C.F.R. §1.182 is **DISMISSED** as moot.

A review of the file of prior application No. 08/660,814 reveals that a request for a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) based on prior Application No. 08/660,814 was filed in the above-identified patent application on 14 March, 2000. The prior application, however, issued as U.S. Patent No. 6,038,443 on 14 March, 2000, as a result of applicant's payment of the issue fee on 13 December, 1999. Since the prior application has issued as a patent, the original application papers present in the file of Application No. 08/660,814 are no longer available for processing under 37 C.F.R.§1.53(d).

In view of the decision and improper CPA request, the CPA request and other papers filed on 14 March, 2000, have been removed from the file of application No. 08/660,814 and have been assigned application No. 10/042,686 for identification purposes. The present petition has also been placed in the file of Application No. 10/042,686. All further correspondence concerning the application deposited on 14 March, 2000, should be directed to Application No. 10/042,686, not Application No. 08/660,814.

The present petition requests that the CPA request be treated as an application under 37 C.F.R. §1.53(b).

A petition to accept and treat an improper application filed under 37 C.F.R.§1.53(d) as a proper application under 37 C.F.R. §1.53(b) must include: (1) the \$130.00 petition fee, and (2) a copy of the complete nonprovisional application, as filed, designated as the prior nonprovisional application in the application papers filed under 37 C.F.R. §1.53(d).

The petition is accompanied by a specification, drawings, and a copy of a signed declaration. The submission of these application papers with the petition is understood as a representation by counsel that the specification and drawings do not contain any subject matter that would have been new matter in the prior application.

The petition under 37 C.F.R. §1.53(e) for a filing date under 37 C.F.R. §1.53(b) is granted.

Counsel's check in payment of \$130.00 as the petition fee upon filing of the inappropriate petition under 37 C.F.R. §1.182 will be used instead to satisfy the fee for the petition appropriately filed under 37 C.F.R. §1.53(e), and the authorization to charge Deposit Account 06-1050 (0200-007002) will be used to pay the filing fee (\$380.00) uncharged at the time of the 14 March 2000, filing.

Application No. 10/042,686 is being forwarded to the Office of Initial Patent Examination for further processing as an application filed under 37 C.F.R. §1.53(b), not under 37 C.F.R. 1.53(d), with a filing date of 14 March, 2000, using the application papers supplied on 25 February, 2002.

Telephone inquiries concerning this matter may be directed to John J. Gillon, Jr., Senior Attorney, Office of Petitions, at (703)305-9199.

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy





Docket No. 1090-006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	)	
Luneau, David J.	)	,
Serial No. 08/660,814	)	
Filed: June 10, 1996	) )	
For: CALLING PARTY ANNOUNCEMENT APPARATUS	)	FEB 2 5 2002
Assistant Commissioner for Patents Box CPA Washington, D.C. 20231		PETITIONS OFFICE

#### EXPRESS MAIL CERTIFICATE

I hereby certify that the following attached paper and fee:

Continued Prosecution Application Request Transmittal

as being deposited with the United States Postal Service "Express Mail Post Office to Addresse" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

William B. Ritchie, Esq.





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FEB 2 5 2002

CETTIONS OFFICE

Attorney Docket No. 1/3/0-COOLs
Attorney Docket No: 100-00 Client 100 Client
Other COA from Deposit Account #500229





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FEB 2 5 2002

THE CONTROL

Attorney Docket No. 1090 - 006 Client LUNEAU  Date of Deposit 6 - 10 - 96
The Patent & Trademark Office acknowledges, and has stamped hereon, the date of receipt of the items listed below concerning the following:  Patent Application Serial No. Des ASSIMED Filed: CONSULCEST
Express Mail Certificate, Label No.

## FISH & RICHARDSON P.C.

225 Franklin Street Boston, Massachusetts 02110-2804

Telephone 617 542-5070

Facsimile 617 542-8906

Web Site

Date February 25, 2002

To John R. Gillon, Jr., Esq.

Senior Attorney, Petitions Branch

United States Patent and Trademark Office

Washington, DC 20231

Facsimile number 10200-00700002 / (703) 308-6916

FAX RECEIVED

FEB 2 5 2002

From Kurt L. Glitzenstein

PETITIONS OFFICE

Re Applicant: David J. Luneau Issue Date: March 14, 2000

Serial No.: Continuation of 08/660,814 now U.S. Patent No. 6,038,443, Issued march

14, 2000

Filed: June 10, 1996

Title: CALLING PARTY ANNOUNCEMENT APPARATUS

Number of pages including this page 41

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Message

10200-007002

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at 517 542-5070 to arrange for its return. Thank you.

### FISH & RICHARDSON P.C.

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Web Site www.fr.com

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FEB 2 5 2002

CETITIONS OFFICE

Frederick P. Fish 1855-1930

W.K. Richardson 1859-1951

February 25, 2002

John R. Gillon, Jr., Esq. Senior Attorney, Petitions Branch United States Patent and Trademark Office Washington, DC 20231

Applicant:

David J. Luneau

Issue Date: Serial No.: March 14, 2000

Continuation of 08/660,814, now

U.S. Patent No. 6,038,443, Issued

March 14, 2000

June 10, 1996

Filed Title

CALLING PARTY ANNOUNCEMENT APPARATUS

DELAWARE NEW YORK

BUSTON

DALLAS

SAN DIEGO

SILICON VALLEY

TWIN CITIES

WASHINGTON, DC

Dear Mr. Gillon:

Pursuant to our telephone conversations earlier today, I am enclosing the following for your consideration:

- 1. Petition Under 37 C.F.R. § 1.53(e)
- 2. A copy of the Express Mail receipt for the March 14, 2000 patent application
- 3. A copy of United States Patent Application Serial No. 08/660,814, as originally filed on June 10, 1996

If you have any questions, or need additional information, do not hesitate to contact me, at (617) 521-7042. Thank you for your assistance with this matter.

Kurt L. Glitzenstein

Enclosures

20394792.doc

Attorney's Docket No.: 10200-007002

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David J. Luneau

Art Unit : 2746

Issue Date: March 14, 2000

Examiner: L. Nguyen

Serial No.: Continuation of 08/660,814,1 now

U.S. Patent No. 6,038,443, Issued

March 14, 2000

Filed

: June 10, 1996

Title

: CALLING PARTY ANNOUNCEMENT APPARATUS

Commissioner for Patents

Washington, D.C. 20231

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PRINTINGS OFFICE

#### Petition Under 37 C.F.R. § 1.53(e)

Applicant hereby petitions pursuant to 37 CFR § 1.53(e) to treat the March 14, 2000, request for a Continued Prosecution Application as the filing of a continuation application on that date under 37 CFR § 1.53(b).

As grounds for this petition, Applicant refers to and incorporates as set forth herein (1) the Petition Under § 1.181 filed June 2, 2000, and (2) the Status Inquiry and Petition Pursuant To 37 C.F.R. § 1.182 To Disregard Previously Filed Request For CPA filed August 24, 2001.

Summarizing briefly, the present petition concerns a patent application filed on March 14, 2000 ("the March 14, 2000 Application") that claims priority under 35 U.S.C. § 120 from

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130.00 OP

#### CERTIFICATE OF MAILING

I hereby certify under 37 CFR §1.8(a) that this correspondence is being sent by facsimile to fax number (703) 308-6916 on the date indicated below and is addressed to the John R. Gillon, Jr., Esq., Senior Attorney, Petitions Branch, United States Patent and Trademark Office, Washington, D.C. 20231.

Stellmilton

February 25, 2002

Date of Deposit

DO OCI

Signature

Deborah L. Hamilton

Typed or Printed Name of Person Signing Certificate

This application was erroneously designated a "continuing patent application" in the filing papers, and the purpose of the present petition is to change the designation to a continuation application under Rule 53(b).

Attorney's Docket No.: 10200-007002

Applicant: David J. Luneau

: March 14, 2000 Issued

Serial No.: Continuation of 08/660,814, now U.S.

Patent No. 6,038,443, Issued March 14,

: June 10, 1996 Filed

Page : 2

United States Patent Application Serial No. 08/660,814 ("the '814 application").2 The March 14, 2000 Application was filed after the issue fee had been paid (on December 13, 1999) on the '814 application, and was inadvertently and mistakenly filed as a Continued Prosecution Application (CPA) under 37 CFR § 53(d). The March 14, 2000 Application should instead have been filed as a continuation application under 37 CFR § 53(b).

For the foregoing reasons, Applicant requests the PTO to disregard the previous request for a CPA, and to treat the March 14, 2000 Application as having been filed on March 14, 2000 under 37 CFR § 53(b).

Please charge any fees, or make any credits, due in connection with this petition to Deposit Account No. 06-1050, reference 10200-007002.

Respectfully submitted,

Date: February 25, 2007

**E**litzenstein Reg. No. 39,686

Fish & Richardson P.C. 225 Franklin Street

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<sup>&</sup>lt;sup>2</sup> The '814 application issued as U.S. Patent No. 6,038,443, also on March 14, 2000.





Docket No. 1090-006 Express Mail No. EL384499714US

Assistant Commissioner for patents Box CPA Washington, D.C. 20231

# CONTINUED PROSECUTION APPLICATION REQUEST TRANSMITTAL

Transmitted herewith for filing is the patent application of David J. Luneau for: CALLING PARTY ANNOUNCEMENT APPARATUS. This application is a continued prosecution application of U.S. Patent Application Serial No. 08/660,814, filed June 10, 1996.

Papers enclosed are:

Post Card

Express Mail Certificate

FAXRECEIVLL

FEB 2 5 2002

Fee Calculations:

Regular application – small entity Total Claims – 0 Extra Independent Claims – 0 Extra Multiple Dependent Claims – None LELLIONS GLL.

The application is being filed without the filing fee. In that the specification, claims and name of the actual inventors has been provided, pursuant to 37 C.F.R. §1.53 (b), the enclosed application should be accorded to the Express Mail Certificate date under 37 C.F.R. §1.10(c).

Respectfully submitted,

William B. Ritchie Attorney for Applicant Reg. No. 33,118

43 Jackson Street Concord, NH 03301

603-225-5212

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